



PEACE, PROSPERITY AND
REGIONAL INTEGRATION

Intergovernmental Authority on Development (IGAD)

Regional Policy Dialogue and Knowledge Exchange on Development Responses to Forced Displacement in the Horn of Africa (P180799)

Appraisal ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

December 6, 2023

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Intergovernmental Authority on Development (IGAD) (the Recipient) will implement the **Regional Policy Dialogue and Knowledge Exchange on Development Responses to Forced Displacement in the Horn of Africa (P180799) (the “Project”)** as set out in the Grant Agreement. The International Bank for Reconstruction and Development/International Development Association (the “Bank”) acting as the administrator of the Horn of Africa Umbrella Program Multi-Donor Trust Fund, has agreed to provide financing for the Project, as set out in the referred agreement.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Grant Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Bank.
4. As agreed by the Bank and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Recipient’s designated official, the Executive Secretary of IGAD. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanisms.</p>	<p>Submit quarterly reports to the Bank throughout the Project implementation commencing after the Effective Date. Submit each report to the Bank no later than 30 days after the end of each reporting period.</p>	<p>IGAD Regional Secretariat (“RSFDM” or “PIU”)</p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury.</p> <p>Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate.</p> <p>Subsequently, at the Bank’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Bank no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Bank within a timeframe acceptable to the Bank.</p>	<p>IGAD Regional Secretariat</p>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Maintain the organizational structure of the Regional Secretariat on Forced Displacement and Mixed Migration (RSFDM “or “PIU”) with adequate resources to support management of ESHS risks and impacts of the Project.</p> <p>Appoint a qualified Environmental and Social Focal Person to <u>support management of ESHS risks and impacts of the Project</u> and ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank.</p>	<p>Throughout Project implementation.</p> <p>Not later than one month after the Effective Date and, thereafter, maintain throughout Project implementation.</p>	<p>IGAD Regional Secretariat</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.2	<p>MANAGEMENT OF CONTRACTORS</p> <p>Incorporate the relevant aspects of the ESCP, including inter alia, the relevant E&S instruments, requirements, codes of conduct and/or plans into the procurement documents and contracts with contractors and consultants. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	<p>Prior to the finalization of bidding documents and respective contracts.</p> <p>Supervise contractors throughout Project implementation.</p>	IGAD Regional Secretariat
1.4	<p>TECHNICAL ASSISTANCE</p> <p>Ensure that the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Bank, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout the Project implementation.	IGAD Regional Secretariat
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT:</p> <p>IGAD shall ensure that all Project Workers consisting of direct and contracted workers shall be employed in line with relevant national legislation and the requirements of ESS2, in a manner acceptable to the Bank, including inter alia, implementing adequate occupational health and safety measures (including Personal Protective Equipment (PPE), first aid kits and emergency preparedness and response measures), codes of conduct, setting out grievance arrangements for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors.</p> <p>The Project shall be carried out in accordance with the applicable requirements of ESS2, in a manner acceptable to the Bank, including through, inter alia, promoting equal opportunity, fair treatment, and non-discrimination, implementing adequate occupational health and safety measures of including emergency preparedness and response projects, setting out grievance arrangements for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors including clauses to prevent the use of child and forced labor as well as codes of conduct and contracts to prevent gender-based violence, sexual exploitation, and abuse. IGAD shall refer to best practices from the World Health Organization (WHO) documents about occupation health and safety at workplace including COVID-19 measures, in a manner acceptable to the Bank and consistent with ESS2.</p>	<p>Prior to engagement of project workers and therefore implement throughout Project implementation.</p>	IGAD Regional Secretariat

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS Establish, maintain, and operate a grievance mechanism for Project workers, and consistent with the provisions ESS2.	Prior to engaging Project workers, and thereafter implement throughout the Project implementation. All workers shall follow the IGAD service regulation policies as described in GRM chapter of the SEP.	IGAD Regional Secretariat
2.3	OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES Ensure implementation of occupational, health and safety (OHS) measures consistent with the requirement of ESS2 (to consultants or other workers that may be recruited for the Project activities).	Throughout the Project implementation.	IGAD Regional Secretariat
2.4	Require a certificate from consultant firms providing evidence of their compliance with applicable laws, e.g., Department of Occupational Safety & Health (DOS&H) certificate and local labor office.	Prior to hiring contractors, and monitor throughout Project implementation.	IGAD Regional Secretariat
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	WASTE MANAGEMENT Consider, as relevant, as part of technical assistance under 1.4 above.	Throughout Project Implementation.	IGAD Regional Secretariat
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	Consider, as relevant, as part of technical assistance under 1.4 above.	Throughout Project Implementation.	IGAD Regional Secretariat
4.2	GBV AND SEA RISKS Consider, as relevant, as part of technical assistance under 1.4 above. Ensure that staff are bound to the terms of the employment manual setting codes of conduct to minimize and address GBV and SEA/SH when they sign the employment contracts.	Throughout Project Implementation.	IGAD Regional Secretariat
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	Consider, as relevant, as part of technical assistance under 1.4 above.	Prior to Project activities, and throughout Project Implementation.	IGAD Regional Secretariat
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
	Not relevant		
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	Consider, as relevant, as part of technical assistance under 1.4 above.	Throughout Project Implementation.	IGAD Regional Secretariat

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 8: CULTURAL HERITAGE			
	Not relevant		
ESS 9: FINANCIAL INTERMEDIARIES			
	Not Relevant		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</p> <p>Adopt and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p>	<p>The SEP was disclosed on December 4, 2023, and shall be updated, as needed, throughout project implementation.</p> <p>The SEP shall be implemented throughout Project implementation.</p>	IGAD Regional Secretariat (RS) – Project Implementation Unit (PIU)
10.2	<p>PROJECT GRIEVANCE MECHANISM:</p> <p>Publicize, maintain, and operate an accessible grievance mechanism, consistent with ESS10, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	<p>Update the existing grievance mechanism, and thereafter maintain and operate the mechanism throughout Project implementation.</p>	IGAD Regional Secretariat (RS) – Project Implementation Unit (PIU)
CAPACITY SUPPORT (TRAINING)			
CS1	<p>Conduct ESF training on the requirements of the Environmental and Social Standards for Environmental and Social focal person and staff of the PIU.</p> <p>Conduct ESF training on the requirements of the Environmental and Social Standards for Project workers and Project staff of IGAD.</p>	<p>Within 30 days from Project Effective Date (and throughout Project implementation?).</p> <p>Within Ninety (90) days after the Effective Date.</p>	IGAD Regional Secretariat (RS) – Project Implementation Unit (PIU)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
CS2	<p>Training for key Project stakeholders (Regional Project Steering Committee (RPSC) and Technical Experts involved in Project Implementation on WB ESF</p> <p>Training topics include the following:</p> <ul style="list-style-type: none"> • COVID-19 Infection Prevention and Control Recommendations • Toolbox meeting on OHS issues, including the use of PPEs • Grievance mechanisms for workers and communities • GBV, including Code of conduct to prevent GBV and SEA • Occupational Health and Safety (OHS) • Stakeholder engagement • Labor Management Procedures • Community health and safety (including emergency prevention and preparedness, response arrangements to emergency) <p>Target groups include the following:</p> <ul style="list-style-type: none"> • Stakeholders including Contractor, • Support Consultants (Labor Management Procedures; OHS guidelines; community health and safety) • Project workers (OHS guidelines, provisions relating to LMP, GBV Risk). 	After the Effective Date and as appropriate throughout Project implementation.	IGAD Regional Secretariat (RS) – Project Implementation Unit (PIU)