



PEACE, PROSPERITY AND  
REGIONAL INTEGRATION

# **Intergovernmental Authority on Development (IGAD)**

## **Health Emergency Preparedness, Response and Resilience Project for Eastern and Southern Africa under Phase I of the Multiphase Programmatic Approach (P180127)**

### **Negotiated ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)**

**August 2, 2023**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. Intergovernmental Authority on Development (IGAD) (the Recipient) will implement the IGAD regional components of the **Health Emergency Preparedness, Response and Resilience Project for Eastern and Southern Africa under Phase I of the Multiphase Programmatic Approach (P180127) (the "Project")**. The International Development Association (hereinafter the "Association") has agreed to provide financing for the Project.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred Financing Agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Recipient through the Executive Secretary. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s).</p>	<p>Submit quarterly reports to the Association throughout the Project implementation. Submit each report to the Association no later than 30 days after the end of each reporting period.</p>	<p>IGAD – Project Implementation Unit (PIU)</p>
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury.</p> <p>Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate.</p> <p>Subsequently, at the Association’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Association no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Association within a timeframe acceptable to the Association.</p>	<p>IGAD - PIU</p>
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>Establish and maintain the organizational structure of the PIU with at least one qualified Environmental and Social Focal Person and resources to support management of ESHS risks and impacts of the Project.</p>	<p>The organizational structure of the PIU shall be established by Project effectiveness including at least one Environmental and Social Focal Person and thereafter the positions established in the PIU organizational structure shall be maintained throughout Project implementation.</p>	<p>IGAD - PIU</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.2	<p><b>ENVIRONMENTAL AND SOCIAL ASSESSMENT</b></p> <p>It is determined that an environmental and social assessment will not be required for the implementation of the Project. As such, assess and manage ESHS risks and impacts, and prepare environmental and social instruments, as required, in accordance with the World Bank Environmental and Social Framework (ESF).</p> <p>The Recipient shall implement material measures and actions so that the Project is implemented in accordance with the World Bank Group’s Environmental and Social Standards (ESSs).</p>	Throughout Project implementation.	IGAD - PIU
1.3	<p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>Incorporate the relevant aspects of the ESCP, including inter alia, the relevant E&amp;S instruments, requirements, codes of conduct and/or plans into the procurement documents and contracts with contractors and consultants. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	<p>Prior to the finalization of bidding documents.</p> <p>Supervise contractors throughout Project implementation.</p>	IGAD - PIU
1.4	<p><b>TECHNICAL ASSISTANCE</b></p> <p>Ensure that the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Association, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout the Project implementation.	IGAD – PIU
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT:</b></p> <p>IGAD shall ensure that all Project Workers consisting of direct and contracted workers shall be employed in line with relevant national legislation and the requirements of ESS2, in a manner acceptable to the Association, including inter alia, implementing adequate occupational health and safety measures (including emergency preparedness and response measures), codes of conduct, setting out grievance arrangements for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors. The Project shall be carried out in accordance with the applicable requirements of ESS2, in a manner acceptable to the Association, including through, inter alia, implementing adequate occupational health and safety measures of including emergency preparedness and response projects, setting out grievance arrangements for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and</p>	Throughout Project implementation.	IGAD - PIU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	contracts with contractors. IGAD shall refer to best practices from the World Health Organization (WHO) documents about occupation health at workplace including COVID-19 measures, in a manner acceptable to the Association and consistent with ESS2.		
2.2	<b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b> Establish, maintain, and operate a grievance mechanism for Project workers, and consistent with the provisions ESS2.	All workers shall follow the IGAD service regulation policies as described in GRM chapter of the SEP. Thereafter implement throughout the Project implementation.	IGAD - PIU
2.3	<b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</b> Ensure implementation of occupational, health and safety (OHS) measures consistent with the requirement of ESS2 (to consultants or other workers that may be recruited for the Project activities).	Throughout the Project implementation.	IGAD - PIU
2.4	IGAD shall require a certificate from consultant firms providing evidence of their compliance with applicable laws, e.g., Department of Occupational Safety & Health (DOS&H) certificate and local labor office.	Prior to hiring contractors	IGAD - PIU
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<b>WASTE MANAGEMENT</b> Adopt and implement waste management measures to manage hazardous and non-hazardous wastes, consistent with ESS3, during implementation of Project activities.	Throughout Project Implementation.	IGAD - PIU
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	Ensure that relevant aspects of ESS4 are included in any Terms of Reference, studies, technical assistance outputs, as relevant, in accordance with action 1.4 above.	Throughout Project Implementation.	IGAD - PIU
4.2	<b>GBV AND SEA RISKS</b> Assess and manage Gender-Based Violence, including Sexual Exploitation and Abuse risks in accordance with ESS2&4. Ensure that staff are bound to the terms of the employment manual setting codes of conduct to minimize and address GBV when they sign the employment contracts.	Throughout Project Implementation.	IGAD - PIU
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.1	Ensure that relevant aspects of this standard are included in any Terms of Reference, studies etc. as relevant, in accordance with action 1.4 above.	Throughout Project Implementation.	IGAD - PIU
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
	Not relevant		

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.1	Ensure that relevant aspects of this standard are included in any Terms of Reference, studies etc. as relevant, in accordance with action 1.4 above.	Throughout Project Implementation.	IGAD - PIU
<b>ESS 8: CULTURAL HERITAGE</b>			
	Not relevant		
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
	Not Relevant		
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b> Implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	Implement the SEP, which was disclosed on July 7, 2023, throughout the Project lifecycle.	IGAD - PIU
10.2	<b>PROJECT GRIEVANCE MECHANISM:</b> IGAD shall establish, publicize, maintain, and operate an accessible grievance mechanism, consistent with ESS10, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10. The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.	Establish the grievance mechanism not later than one month (or 30 days) after Effective Date, including TA activities, and thereafter maintain and operate the mechanism throughout Project implementation.	IGAD - PIU
<b>CAPACITY SUPPORT (TRAINING)</b>			
CS1	Conduct ESF training on the requirements of the Environmental and Social Standards for Environmental and Social Specialists in the PIU  Conduct ESF training on the requirements of the Environmental and Social Standards for Project workers and Project staff of IGAD	Immediately (but not later than 30 days) after Effective Date.  Ninety days after Effective Date.	IGAD - PIU
CS2	Training for key Project stakeholders (Project Steering Committee (PSC) and Technical Expert Committee (TEC) involved in Project Implementation on WB ESF	As appropriate throughout Project implementation.	IGAD - PIU