

Intergovernmental Authority on Development (IGAD)

Horn of Africa-Groundwater for Resilience HoA- G4R (P174867)

ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

March 22, 2022

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. Intergovernmental Authority on Development (IGAD) will implement regional components of the HoA-GW4R Project (174867) (the **Project**), with the involvement of the IGAD Member States (Djibouti, Ethiopia, Kenya, Somalia, South Sudan, Sudan and Uganda). The International Bank for Reconstruction and Development and the International Development Association (hereinafter the Bank) acting as a trustee for Cooperation in International Waters in Africa (CIWA), has agreed to provide financing for the Project. The component of the Project for which IGAD has implementation responsibility is hereafter referred to in this ESCP as the IGAD Component.
2. IGAD will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. IGAD will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as Stakeholder Engagement Plans (SEP), Terms of Reference for Studies and the timelines specified in those E&S documents.
4. IGAD is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by any unit or division of IGAD or by some other delegee or agent of IGAD.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by IGAD as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the Bank and IGAD, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, IGAD will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and IGAD. IGAD will promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, IGAD shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).</p>	Quarterly throughout the first year of implementation and bi-annually thereafter.	IGAD/ IGAD Water Unit (IWU)
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers.</p> <p>Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate.</p> <p>Prepare and provide the Bank a root-cause analysis of such incidents or accidents, including measures to prevent recurrence</p>	<p>Notify the Bank within 48 hours after learning of the incident or accident.</p> <p>A full report including Corrective Action Plan (as needed) would be provided within a timeframe acceptable to the Bank, which will be 30 days unless otherwise agreed.</p>	IGAD/IWU
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Include and maintain the organizational structure of the IGAD Water Unit with qualified environmental and social safeguard specialists/staff and resources to support management of E&S risks.</p>	<p>An organizational structure for risk management including one environmental and one social specialist/staff will be established within 30 days after Project effectiveness</p> <p>The organizational structure, including the specialist(s), should be maintained throughout Project implementation.</p>	IGAD/IWU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.2	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENT Conduct a Regional Strategic Environmental and Social Assessment (SESA) in accordance with terms of reference acceptable to the association.</p>	<p>A ToR for a Regional Strategic Environmental and Social Assessment (SESA) has been prepared and will be updated as required prior to contracting.</p> <p>The SESA will be ready nine (9) months after effectiveness of the project</p> <p>Throughout Project implementation.</p>	IGAD/IWU
1.3	<p>MANAGEMENT TOOLS AND INSTRUMENTS Prepare, disclose and implement environmental and social management plans, studies or other instruments, if required for the respective Project activities.</p>	Throughout the Project implementation	IGAD/IWU
1.4	<p>TECHNICAL ASSISTANCE Ensure that all consultancies, studies (including the SESA), capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Association, that incorporate the relevant requirements of the ESSs. This will also be reflected in the Project Operations Manual.</p>	Throughout the Project implementation	IGAD/IWU
1.5	<p>MANAGEMENT OF CONTRACTORS Incorporate the relevant aspects of the ESCP, including the relevant E&S documents, requirements and/or plans into the procurement bids and contracts with contractors and consultants.</p>	<p>Prior to the finalization of bidding documents.</p> <p>Supervise contractors throughout Project implementation.</p>	IGAD/IWU
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT: IGAD will ensure that all Project Workers consisting of direct and contracted workers will be employed in line with relevant national legislation and the requirements of ESS2, in a manner acceptable to the Bank, including inter alia, implementing adequate occupational health and safety measures (including emergency preparedness and response measures), setting out grievance arrangements for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors.</p>	Throughout Project implementation.	IGAD/IWU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS Establish, maintain, and operate a grievance mechanism for Project workers, as described in the SEP and consistent with ESS2.	ALL workers will follow the IGAD service regulation policies as described in GRM chapter of the SEP Thereafter throughout the Project implementation	IGAD/IWU
2.3	OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES Ensure implementation of occupational, health and safety (OHS) measures consistent with the requirement of ESS2 (to consultants or other workers that may be recruited for the project activities).	Throughout the project implementation	IGAD/IWU
2.4	IGAD will request a certificate from consultant firms providing evidence of their compliance with applicable laws, e.g., Department of Occupational Safety & Health (DOS&H) certificate and local labor office.	Prior to hiring contractors	IGAD/IWU
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	Not relevant		
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1			
4.2	Not relevant		
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	Ensure that relevant aspects of this standard are included in any Terms of Reference, studies etc as relevant, in accordance with action 1.4 above.	Throughout Project Implementation	IGAD/IWU
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES [actions. See examples below].			
6.1	Ensure that relevant aspects of this standard are included in any Terms of Reference, studies etc as relevant, in accordance with action 1.4 above including, inter alia, guidance on how to screen out impacts of project activities on natural and critical habitats and on how to manage any unavoidable impacts, in accordance with ESS6.	Throughout Project Implementation	IGAD/IWU
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	Ensure that relevant aspects of this standard are included in any Terms of Reference, studies etc as relevant, in accordance with action 1.4 above.	Throughout Project Implementation	IGAD/IWU
ESS 8: CULTURAL HERITAGE			
8.1	Ensure that relevant aspects of this standard are included in any Terms of Reference, studies etc as relevant, in accordance with action 1.4 above.	Throughout Project Implementation	IGAD/IWU
ESS 9: FINANCIAL INTERMEDIARIES			
9.1	NOT RELEVANT	NOT RELEVANT	NOT RELEVANT

ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION IGAD will ensure implementation of the SEP in accordance with the provisions of ESS10. The SEP will be updated and redisclosed as needed during project implementation	Throughout Project Implementation	IGAD/IWU
10.2	PROJECT GRIEVANCE MECHANISM: IGAD will implement the Project Grievance Mechanism outlined in the SEP. IGAD will report on the status of grievances as part of the monitoring reports.	Implement and maintain the Grievance Mechanism throughout Project Implementation.	IGAD/IWU
CAPACITY SUPPORT (TRAINING)			
CS1	Conduct ESF training on the requirements of the Environmental and Social Standards for project workers and staff of IGAD project	Ninety days after Project Effectiveness once the E&S experts are on-board	IGAD/IWU
CS2	Training for key project stakeholders (PSC and TAC) involved in Project Implementation on WB ESS	As appropriate throughout project implementation	IGAD/IWU